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# Analysis of the Chinese Alligator TCR $\alpha/\delta$ Loci Reveals the Evolutionary Pattern of Atypical TCR $\delta$ /TCR $\mu$ in Tetrapods

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Atypical TCR $\delta$  found in sharks, amphibians, birds, and monotremes and TCR $\mu$  found in monotremes and marsupials are TCR chains that use Ig or BCR-like variable domains (VH $\delta$ /V $\mu$ ) rather than conventional TCR V domains. These unconventional TCR are consistent with a scenario in which TCR and BCR, although having diverged from each other more than 400 million years ago, continue to exchange variable gene segments in generating diversity for Ag recognition. However, the process underlying this exchange and leading to the evolution of these atypical TCR receptor genes remains elusive. In this study, we identified two TCR $\alpha$ / $\delta$  gene loci in the Chinese alligator (*Alligator sinensis*). In total, there were 144 V, 154 J $\alpha$ , nine J $\delta$ , eight D $\delta$ , two C $\alpha$ , and five C $\delta$  gene segments in the TCR $\alpha$ / $\delta$  loci of the Chinese alligator, representing the most complicated TCR $\alpha$ / $\delta$  gene system in both genomic structure and gene content in any tetrapod examined so far. A pool of 32 VH $\delta$  genes divided into 18 subfamilies was found to be scattered over the two loci. Phylogenetic analyses revealed that these VH $\delta$  genes could be related to bird VH $\delta$  genes, VH $\delta$ /V $\mu$  genes in platypus or opossum, or alligator VH genes. Based on these findings, a model explaining the evolutionary pattern of atypical TCR $\delta$ /TCR $\mu$  genes in tetrapods is proposed. This study sheds new light on the evolution of TCR and BCR genes, two of the most essential components of adaptive immunity. *The Journal of Immunology*, 2020, 205: 000–000.

cell receptors play a significant role in the specific recognition of pathogen-associated epitopes (1). Four TCR chains, called  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$ , are expressed as heterodimers either as  $\alpha$  with  $\beta$  on  $\alpha\beta$  T cells or  $\gamma$  with  $\delta$  on  $\gamma\delta$  T cells.

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The sequences presented in this article have been submitted to the National Center for Biotechnology Information GenBank (https://www.ncbi.nlm.nih.gov/genbank/) under accession numbers MT081963 and MT081964.

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Abbreviations used in this article: BAC, bacterial artificial chromosome; RSS, recombination signal sequence.

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These have been found in all jawed vertebrates, with only the squamate reptiles appearing to have lost the  $\gamma/\delta$  TCR (2, 3). These polypeptide chains are encoded by the TCR $\alpha$ , TCR $\beta$ , TCR $\gamma$ , and TCR $\delta$  genes, respectively (4, 5). Generally, the TCR $\beta$  and TCR $\gamma$  are encoded by their own loci present on separate chromosomes. The TCR $\delta$  locus, in contrast, is nested within the TCR $\alpha$  locus at a separate chromosomal location (5, 6).

Like Igs, TCRs generate diverse binding specificities to Ags by undergoing somatic recombination of V(D)J segments (7, 8). The TCR and Ig genes share a common origin in jawed vertebrates; the conventional variable domain genes (TCRV) are estimated to have diverged from IgV genes ~400 million years ago, and the two are readily distinguishable (9, 10). Interestingly, V genes, which are indistinguishable from IgH V genes (VH), have recently been identified within TCR gene loci of several vertebrates and are used in generating the TCR repertoire (10–16).

Such atypical TCRV genes were first identified in an additional TCR locus, named TCRµ, found, so far, only in marsupials and monotremes (10, 12, 13, 17). TCRµ genes are unlinked to those gene loci that encode conventional TCR chains and, in marsupials, are organized in tandem repeats of a core unit:  $V\mu - D\mu_n - J\mu - V\mu_j C\mu$  (12, 17). Most notably, the  $C\mu$  genes related to  $C\delta$ , whereas the Vµ genes are more related to VH genes than to conventional TCRV genes (12, 17). Furthermore, TCRµ chains are predicted to have an extracellular atypical structure, containing three extracellular IgSF domains rather than conventional two due an extra V domain (12). The N-terminal V of marsupial TCRµ (Vµ) is encoded by somatically recombined VDJ segments, whereas the C-proximal V domain  $(V\mu j)$  is encoded by an exon in which the V and J genes are already prejoined in the germline DNA, and it is relatively invariant (12, 13, 17). In the platypus, the C-proximal V domain still requires somatic recombination of a V and J segment (17).

So far, TCR $\mu$  homologs have not been found in nonmammalian species (12, 13, 17). However, in primordial jawed vertebrates, such as sharks and other cartilaginous fish, a TCR chain structurally

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similar to TCR $\mu$  has been identified, called NAR-TCR. NAR-TCR also employs two V domains, each encoded by separate, somatically rearranging VDJ segments (11, 18). The distal V domain of NAR-TCR is more closely related to the V domains of IgNAR than to the V domains of conventional TCR. IgNAR is an H chain homodimer that is not associated with light chains and is found only in cartilaginous fish (19, 20). It can be assumed that the structures of TCR $\mu$  and NAR-TCR may represent an ancient receptor system. However, phylogenetic analysis and structure are consistent with NAR-TCR and TCR $\mu$  being more likely the results of convergent evolution rather than being related by direct descent (10, 17).

The origins of TCRµ remained unclear until the discovery of VH $\delta$  genes in the TCR $\alpha/\delta$  locus of platypus, which provided a model for the TCRµ origin (10). Such atypical V genes that appear to be indistinguishable from VH genes but are located within the conventional TCR $\alpha/\delta$  locus have also been detected in amphibians, the platypus, passeriform birds, coelacanths, and nurse sharks (10, 14-16, 21). The VHS gene, which is used with CS as part of TCRô repertoire, was first identified as a cluster of gene segments in a reverse transcriptional orientation at the 5' end of the Xenopus tropicalis TCRα/δ locus (14). Later, one additional VHδ gene was identified in the TCR $\alpha/\delta$  locus of platypus (10). The phylogenetic relationship of VHS and Vµ genes in platypus provided a critical link in reconstructing the evolutionary history of TCRµ. Possibly, V gene segments have recurrently translocated between the IgH and TCRô loci. The TCRô genes are hypothesized to have translocated out of the TCR $\alpha/\delta$  locus early in evolution of mammals, creating the TCRµ locus (10). Supporting this, a second TCRô locus that uses Ig-like V domains was found in Galliformes, which is unlinked to the conventional TCR $\alpha/\delta$  locus. Additionally, flanking genes of the second TCR $\delta$  locus cannot be found in the conventional TCR $\alpha/\delta$  locus (15). A IgH-like V segment, nestled within the TCRδ locus and expressed with TCRδ (but not IgH) was also found in the nurse shark and termed as TAILVs (16).

VH $\delta$  genes exist in TCR $\alpha/\delta$  locus perhaps because of direct transfer of VH genes from an IgH locus to the TCR $\alpha/\delta$  locus. But it should be pointed out the TAILV/VH $\delta/\mu$  genes found in the nurse sharks, coelacanths, frogs, birds, and monotremes are not closely related. Phylogenetic evidence did not support TAILV as the progenitor of VH $\delta$  (16). Indeed, VH $\delta$  and V $\mu$  genes in tetrapods appear to have derived from different, ancient VH clans. Avian VH $\delta$  are derived from VH clan I, amphibian VH $\delta$  from VH clan II, and platypus VH $\delta$  from VH clan III, for example (14, 15, 17). The translocation of VH into the TCR $\alpha/\delta$  loci seemed to have occurred independently in different lineages. Strangely, birds are thought to only have VH clan III genes in their IgH locus, yet all avian VH $\delta$  groups belong to VH clan I (10, 22). This raises interesting questions regarding the origins of the VH $\delta$  and V $\mu$  genes in tetrapods.

In this study, we generated a detailed genomic organization for the TCR $\alpha/\delta$  locus in the Chinese alligator, an ancient reptile that represents a key evolutionary position linking amphibians, birds, and mammals (23). Notably, a large number of VH $\delta$  genes were found to be dispersed throughout the TCR $\alpha/\delta$  locus in the Chinese alligator, and phylogenetical analysis suggested some of them grouped with frog VH $\delta$ , some with bird VH $\delta$ , and some with VH $\delta$ and V $\mu$  genes in the platypus and the opossum. Based on this study, we developed and present a model to explain the evolutionary pattern of atypical VH $\delta$  genes in tetrapod.

#### **Materials and Methods**

### Sample collection, DNA and RNA extraction, and reverse transcription

Blood samples of Chinese alligators were collected from the Beijing Zoo. Genomic DNA was extracted from blood cells according to a routine protocol. Various tissues of Chinese alligator were provided by the Administration Bureau of Chinese Alligator National Nature Reserve Protection of Anhui Province. Total RNA was extracted from the spleen and thymus using a TRIzol kit (TIAGEN Biotech, Beijing, China) according to the manufacturer's instructions. Reverse transcription was conducted using Moloney Murine Leukemia Virus Reverse Transcriptase (Promega, Madison, WI) with an oligo(dT) adapter primer. Our studies were approved by the Animal Care and Use Committee of China Agricultural University.

#### Bacterial artificial chromosome screening

Based on the sequences of TCR C $\alpha$  and TCR C $\delta$  derived from chicken (C $\alpha$ , ABU97797.1; C $\delta$ 1, AAD51740.1 [both from GenBank]), we performed tblastn against National Center for Biotechnology Information GenBank. Two scaffolds (scaffold1585\_1 and scaffold955\_1) were identified. Based on the sequences of C $\alpha$  and C $\delta$  from scaffold1585\_1 and scaffold955\_1, positive bacterial artificial chromosome (BAC) clones covering the Chinese alligator TCR $\alpha/\delta$  loci were isolated from the BAC library via PCR-based screening. The first positive BAC clone was sequenced from both ends, and the end sequences were used to design primers for the next round of screening to determine the BAC clone overlap. Finally, 17 positive BAC clones were sequenced by shotgun sequencing (the sequence had ~7 × coverage of each BAC) and assembled with a next-generation sequencing platform by Beijing Genomics Institute (Beijing, China).

#### Genome walking

We mapped the gap between Y251L7 and Y104L3 of Chinese alligator TCR $\alpha/\delta$  locus A by a genome walking kit (Clontech Laboratories) according to the manufacturer's instructions. All amplifications were conducted using LA Taq DNA Polymerase (Takara, Dalian, China) with proofreading activity. The PCR products were sequenced.

#### Gene annotation and characterization

IgBLAST (http://www.ncbi.nlm.nih.gov/igblast/) was used to predict the V gene segments by assessing similarity to homologs from human and mouse. Germline  $V\alpha$  and  $V\delta$  genes were grouped into families using the ImMunoGeneTics numbering system (24). If there was more than one member in the subgroup, we named the V gene from 3' to 5', with the subgroup number followed by the gene segment number. The recombination signal sequences (RSSs) for the V, D, and J gene segments were analyzed using the online programmer FUZZNUC (http:// embossgui.sourceforge.net/demo/fuzznuc.html). Non-TCR genes located in or flanking the  $TCR\alpha/\delta$  locus were identified using GENSCAN (http://genes.mit.edu/GENSCAN.html), and then BLAST was used on all predicted exon domains against the GenBank database using blastp to identify the genes. DNA and protein sequence editing, alignments, and comparisons were performed with MegAlign software (DNASTAR) (25). Dot blot analyses of the V regions of the TCR $\alpha/\delta$  locus were conducted with the dotter programmer (26).

#### Southern blotting

Restriction endonucleases were used to digest the genomic DNA. Digested genomic DNA was electrophoresed in a 0.9% agarose gel for 6 h and then transferred to a positively charged nylon membrane (Roche, Mannheim, Germany) for hybridization with individual C $\alpha$ 1, C $\alpha$ 2, C $\delta$ 1, C $\delta$ 2, C $\delta$ 4, and C $\delta$ 5 probes, which were labeled by a PCR digoxigenin probe synthesis kit (Roche). Hybridization and detection were performed according to the manufacturer's instructions.

#### Construction of phylogenetic trees

The phylogenetic trees were constructed in MEGA version 6.0 (27) using the neighbor-joining method with 1000 bootstrap replicates. Multiple amino acid/nucleotide alignments for tree construction were performed using ClustalW. Each  $V\alpha/\delta$  and VH $\delta$  subgroup was represented by one family per species chosen at random. The accession numbers of the sequences used to construct the phylogenetic trees are listed in Supplemental Table I. All other sequences were derived in this study.

### Cloning of expressed Chinese alligator $TCR\alpha$ and $TCR\delta$ chain genes at the cDNA level

5' RACE System for RACE (Invitrogen, Carlsbad, CA) was applied to total RNA to obtain the expressed repertoire of TCR $\alpha$  and TCR $\delta$  V segments. We designed the gene-specific primers for the TCR $\alpha$  and TCR $\delta$  chains. All PCR amplifications were performed using a proofreading

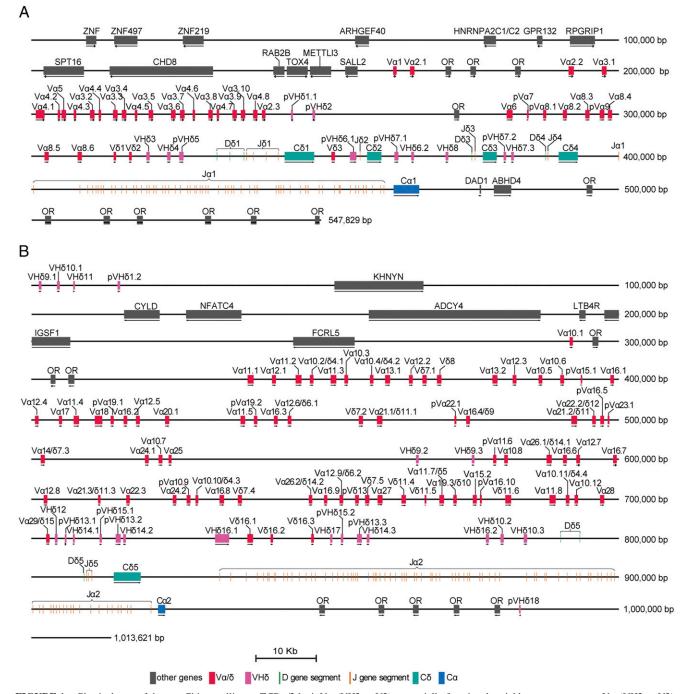
enzyme, Pyrobest DNA polymerase (Takara). The PCR products were cloned into the pMD19-T vector (Takara) and sequenced.

#### Results

#### Identification of two $TCR\alpha/\delta$ loci in the Chinese alligator

To analyze the TCR $\alpha/\delta$  locus in the Chinese alligator, we employed a BAC genomic library that was generated by using peripheral blood leukocytes isolated from a Chinese alligator (28). Using a PCRbased approach and shotgun sequencing, 17 overlapping genomic TCR $\alpha/\delta$  gene–positive BAC clones (Y12D21, Y135N2, Y144M13, Y251L17, Y104L3, Y257J18, Y179I18, Y107L2, Y13B10, Y183J22, Y132K5, Y75A3, Y360O6, Y173I18, Y236E17, Y96L4, and Y110J22) were identified. Upon filling a gap between Y251L7 and Y104L3 by genome walking, we obtained two contiguous distinct genomic sequences containing the alligator TCR $\alpha/\delta$  genes. These spanned ~547 kb and 1013 kb of DNA sequences, respectively, and we labeled them as TCR $\alpha/\delta$  locus A and locus B.

Subsequently, we annotated and characterized the genes of the two TCR $\alpha/\delta$  loci. As in all tetrapods analyzed so far, the genes encoding the alligator TCR $\alpha$  and TCR $\delta$  chains are tightly linked



**FIGURE 1.** Physical map of the two Chinese alligator TCR $\alpha/\delta$  loci. V $\alpha$  (VH $\delta$  or V $\delta$ ), potentially functional variable gene segments; pV $\alpha$  (VH $\delta$  or V $\delta$ ), pseudovariable gene segments; D, diversity gene segments; J, joining gene segments; and C, C region gene. V, D, and J gene segments and C regions were numbered based on their position on the locus (5'-3') and were color coded as follows: V $\alpha/\delta$ , red; D, green; J, orange; C $\alpha$ , blue; C $\delta$ , dark green; and VH $\delta$ , pink. Non-TCR genes located in or flanking the TCR $\alpha/\delta$  locus were shaded by light gray. Transcriptional orientation is indicated by the arrow under each segment except for the D and J genes.

in both loci, with TCR $\delta$  genes nested among the TCR $\alpha$  genes (Fig. 1). Flanking genes *METTL3* and *SALL2* were detected at the 5' end of locus A, which was similar to that in human, mouse, opossum, *X. tropicalis*, and coelacanth (Fig. 1). We also identified *DAD1* and *ABHD4* at the 3' end of locus A, as in mammals, chicken, lizard, turtle, and *X. tropicalis* (Fig. 1). Additionally, there was also a single V $\delta$  gene in reverse transcriptional orientation downstream of the C $\delta$ 1 gene like in mammalian TCR $\alpha/\delta$  loci (Fig. 1). However, genes (*KHNYN, CYLD, NFATC4, ADCY4, LTB4R, IGSF1*, and *FCRL5*) located at the 5' end of locus B were not found in TCR $\alpha/\delta$  loci of any other vertebrates, There were, however, several olfactory receptors interspersed among the V $\alpha$  genes (Figs. 1, 2).

#### Genomic structures of the two $TCR\alpha/\delta$ loci

Based on the existence of RSSs, we have annotated a pool of 46 V genes positioned at the 5' of D $\delta$ -J $\delta$ -C $\delta$  clusters, which were followed by a large number of J $\alpha$  (*n* = 63) segments and a C $\alpha$ region in locus A (Fig. 1). The striking feature of the locus A is the presence of four tandemly repeated D\delta-Jδ-Cδ clusters. There are three D $\delta$  segments, four J $\delta$  segments, and one C $\delta$  in the first D $\delta$ -J $\delta$ -C $\delta$  cluster. Only one J $\delta$  segment and one C $\delta$  was found in the second cluster. The Co2 appeared to be a pseudogene because of a frameshift mutation at its first exon. Both the third and fourth  $D\delta$ -J $\delta$ -C $\delta$  clusters had a single  $D\delta$  segment, a single J $\delta$  segment, and one C $\delta$  (Fig. 1). The genomic structure of locus B was simpler than the locus A. There are 98 V genes and one Dδ-Jδ-Cδ cluster containing three D\delta, three J\delta, and one C\delta. A J $\alpha$ -C $\alpha$  cluster containing 91 J $\alpha$  segments and a single C $\alpha$  is positioned downstream of the D $\delta$ -J $\delta$ -C $\delta$  cluster (Fig. 1). All D $\delta$  and J $\delta$ /J $\alpha$  gene segments are flanked by canonical RSS (Supplemental Table II).

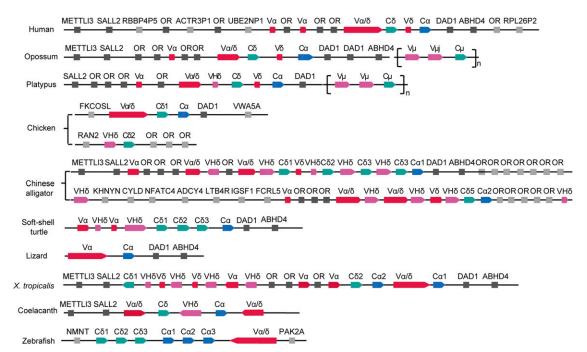
To determine whether the TCR $\alpha/\delta$  loci in another alligator species is also organized as the similar structure to that in Chinese alligator, we identified the V, D, J, and C gene segments on two scaffolds (GenBank: NW\_017707656.1 and NW\_017710963.1) in the current genome assembly of American alligator (*Alligator* mississipiensis). All genes of the TCR $\alpha/\delta$  locus in American alligator are syntenic to those in Chinese alligator.

#### Characterization of the C $\alpha$ and C $\delta$ genes in Chinese alligator

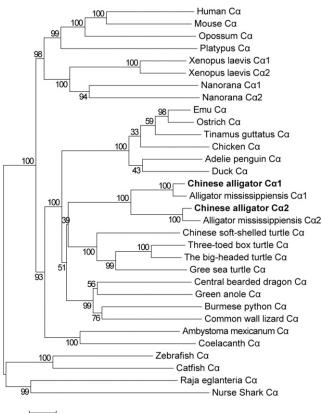
Two C $\alpha$  were detected, one from locus A and one from locus B; they share an amino acid identity of 64.8% (Figs. 1, 2). Southern blot analyses with the first exons of C $\alpha$ 1 and C $\alpha$ 2 as probes were conducted to verify the copy numbers of the C $\alpha$  genes, and the two probes each detected a single hybridizing fragment (Supplemental Fig. 1A). An amino acid sequence alignment of the two C $\alpha$  with those of other vertebrates revealed conserved cysteine distribution (Supplemental Fig. 2A). The C $\alpha$  of Chinese alligator shared <35% sequence identity with C $\alpha$  from other species (Supplemental Fig. 2A). Moreover, a phylogenetic analysis indicated that the divergence of C $\alpha$ 1/C $\alpha$ 2 genes in alligators (including the Chinese alligator and American alligator) occurred in a common ancestor (Fig. 3).

We also identified five C $\delta$  genes in the Chinese alligator (Fig. 1). Pairwise comparisons revealed no more than 40% as sequence identity between Chinese alligator C $\delta$  and that of other vertebrates (Supplemental Fig. 2B). The identical cysteine distribution in the C $\delta$  is conserved between Chinese alligator and C $\delta$  from other vertebrate species. Southern blot analysis corroborated the presence of five C $\delta$  genes in the genome (Supplemental Fig. 1B). We observed only a single band each when using the first exons of C $\delta$ 1, C $\delta$ 4, and C $\delta$ 5 as probes (Supplemental Fig. 1B, 1C). When the first exon of C $\delta$ 2 was used, two bands were detected in *Xba* I–, *Bam*H I–, and *Pst* I–digested genomic DNA (Supplemental Fig. 1B), most likely because the nucleotide sequence identity between exon 1 in C $\delta$ 2 and C $\delta$ 3 is to 90.2%.

Based on a phylogenetic analysis, C $\delta$  genes from the reptilian lineage, including birds and nonavian reptiles, clustered together (Fig. 4). They formed three subclades: C $\delta$  I subclade comprised all avian C $\delta$  genes, alligator C $\delta$ 1 and C $\delta$ 5 and turtle C $\delta$ 1; C $\delta$  II



**FIGURE 2.** Simplified representations of the TCR $\alpha/\delta$  and TCR $\mu$  loci indistinct vertebrate lineages. V $\alpha/\delta$  genes are marked in red, VH $\delta$  and V $\mu$  genes are marked in pink, C $\delta$  and C $\mu$  genes are represented in dark green, and C $\alpha$  genes are shown in blue. Syntenic genes shown in dark gray are those conserved with other tetrapod TCR $\alpha/\delta$  locus: methyl-transferase like 3 (*METTL3*), spalt-like transcription factor 2 (*SALL2*), olfactory receptors (*OR*), defender against cell death gene 1 (*DAD1*), and abhydrolase domain containing 4 (*ABHD4*). Other genes are marked by light gray.



0.05

**FIGURE 3.** Phylogeny of the TCR $\alpha$  constant regions in jawed vertebrates. The phylogenetic tree was constructed using TCR C $\alpha$  domains in MEGA 6.0 (27) with the neighbor-joining method (42). Chinese alligator sequences are shown in bold. Bootstrap percentage values are shown at the major interior branch nodes. The horizontal length is proportional to the distance score generated by the computer program. Similar topology was generated using the maximum likelihood method.

subclade consisted of turtle C $\delta$ 2 and alligator C $\delta$ 2 and C $\delta$ 3; and C $\delta$  III subclade was composed of turtle C $\delta$ 3 and alligator C $\delta$ 4 (Fig. 4).

### A large number of unconventional VH $\delta$ gene segments dispersed in the two TCR $\alpha/\delta$ loci

As noted above, a total of 144 V gene segments combined were detected in two TCR $\alpha/\delta$  loci (Fig. 1). One hundred and twelve conventional V $\alpha/\delta$  genes could be classified into thirty-four families using the rule that sequences sharing 75% nucleotide identity are in the same family. Based on the ImMunoGeneTics rules described previously (29), 97 V $\alpha/\delta$  (~86%) genes were predicted to be functional, and 15 appeared to be pseudogenes because of the presence of unusual RSS or in-frame stop codons, frameshifts, and/or mutations in the sequences (Supplemental Table II). The derived amino acid sequences of these V $\alpha/\delta$  families contained the canonical V $\alpha/\delta$  structure, which included the conservative sites Cys<sup>23</sup>, Trp<sup>41</sup>, and Cys<sup>104</sup> (Supplemental Fig. 2C).

Thirty-two unconventional V genes were found to share greater identity with VH genes than conventional V $\delta$  genes and were designated as VH $\delta$  genes, following the nomenclature established for *X. tropicalis* (14). Indeed, compared with Ig and TCR V genes from other vertebrate species, these VH $\delta$  genes were found to be most similar to VH. Phylogenetic analysis supported that the VH $\delta$ genes of Chinese alligator grouped within the same clade as the VH genes rather than the V $\alpha/\delta$  genes, suggesting that the VH $\delta$ 

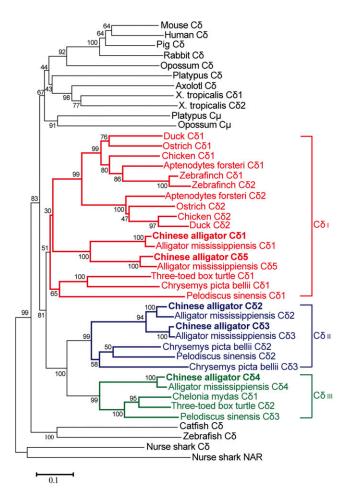


FIGURE 4. Phylogenetic analyses of Chinese alligator TCR $\delta$  constant regions. The tree was constructed using the Ig-C domains and analyzed using the neighbor-joining method. Bootstrap values are indicated per 1000 replicates. Chinese alligator sequences are shown in boldface. A distance bar is shown below the tree. In the figure, all bird C $\delta$  genes, alligator C $\delta$ 1 and C $\delta$ 5 and turtle C $\delta$ 1 as C $\delta$  I in red; turtle C $\delta$ 2, alligator C $\delta$ 2 and C $\delta$ 3 as C $\delta$  II in blue; and turtle C $\delta$ 3 and alligator C $\delta$ 4 as C $\delta$  III in green. Similar topology was generated using the maximum likelihood method.

genes were more closely related to the VH genes (Fig. 5). These VH $\delta$  genes were segregated into 18 families based on 75% or greater nucleotide identity (Fig. 1, Table I, Supplemental Fig. 2D).

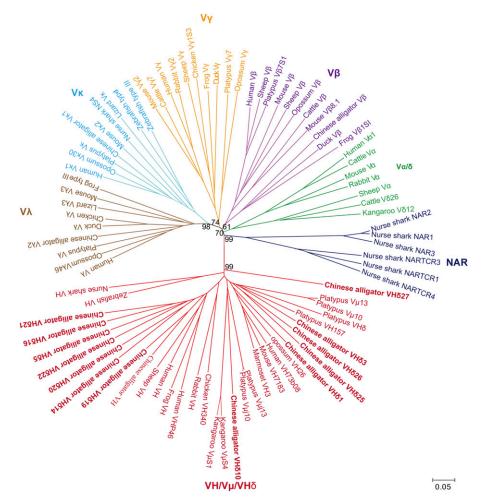
In locus A, two pseudo-VH $\delta$  genes were identified among the V $\alpha$  genes. The other VH $\delta$  genes were nested within the TCR $\alpha$  locus as part of four VH $\delta$ –D $\delta$ –J $\delta$ –C $\delta$  clusters. In locus B, four VH $\delta$  genes clustered at the 5' end of the locus. Nine were dispersed among the V $\alpha$ / $\delta$  genes, and seven were located immediately upstream of the D $\delta$ –J $\delta$ –C $\delta$  cluster. Finally, a single VH $\delta$  (pVH $\delta$ 18) was identified at the 3' end the locus (Fig. 1).

Eighteen VH $\delta$  gene segments located on two genomic scaffolds from the American alligator were also identified and could be classified into 12 families. The position of the VH $\delta$  genes in Chinese and American alligators were highly conserved (data not shown).

### VH $\delta$ genes identified in the Chinese alligator fell into three different ancient VH clans

Ig VH genes from mammals and other tetrapods have been shown to cluster into three ancient clans (22, 30). Previous studies indicated that the *X. tropicalis* VH $\delta$  all clustered with VH clan II genes (14), whereas all VH $\delta$  genes identified in coelacanth clustered into VH

FIGURE 5. Phylogenetic analysis of VHδ regions from four conventional TCRs, TCRµ, and Ig. The trees shown were from nucleotide alignments constructed by MEGA 6.0 (27) and analyzed by using the neighbor-joining method. The numbers are the percentage of bootstrap support for each node based on 1000 replicate trees. Vk and VA are respectively colored in brown and light blue. V $\alpha$ / $\delta$ , V $\gamma$ , V $\beta$ , and NAR-TCRV are in blue, orange, green, and blue, respectively. VHS cluster with Vµ and VH gene together were colored in red. VHδ gene from Chinese alligator were marked in bolded font, and they fall into the VH clade rather than  $V\alpha/\delta$  clade. Similar topology was generated using the maximum likelihood method.



clan III (21). Avian VH $\delta$  genes, in contrast, are closely related to VH clan I (15). Finally, the VH-related V $\mu$  and VH $\delta$  in platypus both clustered within VH clan III (10). These observations are consistent with VH $\delta$  having evolved independently from VH multiple times.

To analyze phylogeny of the VH $\delta$  genes in Chinese alligator, a phylogenetic tree using VH genes from different tetrapods, V $\mu$  of opossum and platypus, and VH $\delta$  of platypus, birds, alligators, soft-shell turtles, and *X. tropicalis* was constructed (Fig. 6, Table II). The VH $\delta$  genes from alligators fell into three different ancient clans of VH genes: eight VH $\delta$  subgroups (VH $\delta$ 6–9, VH $\delta$ 12–13, VH $\delta$ 15, and VH $\delta$ 17) fell into VH clan III, among which VH $\delta$ 12 clustered with platypus VH $\delta$  in the same clade, suggesting that they share a common origin (Fig. 6). In contrast, nine subgroups, including VH $\delta$ 1–5, VH $\delta$ 10–11, VH $\delta$ 14, and VH $\delta$ 16, were located within VH clan I together with VH $\delta$  genes of birds (Fig. 6), whereas the VH $\delta$ 18 fell into the VH clan II (Fig. 6).

In addition, Chinese alligator pVH $\delta$ 1.1, VH $\delta$ 2, VH $\delta$ 9.3, and VH $\delta$ 15 were clustered with their own VH8, VH17, VH32, and VH10, respectively (Fig. 6). Indeed, these VH $\delta$  genes in TCR $\alpha/\delta$  share a high degree of identity (63.4–91.1%) with their corresponding VH genes in the IgH locus.

#### Expression of VH $\delta$ with C $\delta$ 1, C $\delta$ 3, C $\delta$ 4, and C $\delta$ 5

To identify that VH $\delta$  genes are found used in Chinese alligator TCR $\delta$  transcripts, 5' RACE PCR was performed. We sequenced and analyzed 296 cDNA clones (including 74 TCR $\delta$ 1, 20 TCR $\delta$ 3, 25 TCR $\delta$ 4, and 177 TCR $\delta$ 5) with unique VJ junctions. Four different C $\delta$  had different preferences for usage of V gene segments. V $\delta$ 3

was the most frequently used in TCR $\delta$ 1 chains, which accounted for roughly half of the expressed TCR $\delta$  repertoire (45.95%) and only one TCR $\delta$ 1 clone (clone D2-2-6) contained VH $\delta$ 3 gene. In TCR $\delta$ 5 chains, V $\alpha$ 14/ $\delta$ 7 and V $\alpha$ 21/ $\delta$ 11 were preferentially used, accounting for 37.85 and 33.90%, respectively, and there were 18 (10.17%) TCR $\delta$ 5 cDNA clones containing VH $\delta$  genes. But in all TCR $\delta$ 3 and TCR $\delta$ 4 transcripts obtained, the C $\delta$  were only found coexpressed with VH $\delta$  genes.

The four functional C $\delta$  genes coexpressed with VH $\delta$  genes detected in Chinese alligator encoded single V and C domains, similar to the structure of atypical TCRô chain in X. tropicalis and birds (14, 31). To analyze the sequence diversity of the TCR $\delta$ transcripts with VHS, we compared VDJ junctions in sequenced transcripts. A biased usage of VH8 genes segments was observed in these clones (Fig. 7). Only a single TCR81 chain containing VH83 gene segment was found. The 18 TCR85 clones using VH8 genes showed a preferential VHS usage with most clones using VH812 and VH816 (six clones and eight clones, respectively), with VH $\delta$ 10 (three clones) being the third most frequently used (Fig. 7). In the TCR83 chains, which are only coexpressed with VHô gene segments VHô6.2 and VHô8 were found to be nearly equally used, whereas most TCR84 clones, also only coexpressed with VHô, preferentially used VHô7.3 (92%). Notably, all the VH $\delta$  gene segments tended to be used by the nearest C $\delta$ .

From the sequence analyses, it appears that the C $\delta$  transcripts use the D $\delta$  gene segments and J $\delta$  gene segments located within the same cluster as the C $\delta$  (Figs. 7, 8). Most of the TCR $\delta$ 1 and TCR $\delta$ 5 appear to use more than one D gene (VDDJ) because of the presence of the asymmetrical RSS leading to incorporation of

Table I. Summary of the germline VH $\delta$  subgroups in Chinese alligator TCRa/ $\delta$  loci

VHδ Subgroup	VHô Gene	Functional <sup>a</sup>	Total	
VHδ1	$pVH\delta^{b}1.1$ and $pVH\delta1.2$	0	2	
VHδ2	νΗδ2	1	1	
VHδ3	VHδ3	1	1	
VHδ4	VHδ4	1	1	
VHδ5	ρVHδ5	0	1	
VHδ6	pVH $\delta 6.1$ and VH $\delta 6.2$	1	2	
VHδ7	pVHδ7.1, pVHδ7.2, and	1	3	
	νΗδ7.3			
<b>VH</b> δ8	VHδ8	1	1	
VHδ9	VH89.1, VH89.2, and VH89.3	3	3	
VHδ10	VHδ10.1, pVHδ10.2, and	2	3	
	VH810.3			
VHδ11	VHδ11	1	1	
VHδ12	VHδ12	1	1	
VHδ13	pVHδ13.1, pVHδ13.2, and	0	3	
	ρVHδ13.2			
VHδ14	$pVH\delta14.1$ , $VH\delta14.2$ , and	2	3	
	νΗδ14.3			
VHδ15	VH815.1 and VH815.2	2	2	
VH <sub>016</sub>	pVH816.1 and VH816.2	1	2	
VHδ17	ρVHδ17	0	1	
VH <sub>018</sub>	ρVHδ18	Õ	1	
Total	<b>F</b>	18	32	

 $^a\!\mathrm{Functionally}$  mean potentially functional variable gene segments with open reading frames.

 ${}^{b}$ pVH $\delta$  represented pseudovariable gene segments that have defect in splicing sites, RSS, and/or regulatory elements and/or changing the conserved amino acids has been suggested to lead to incorrect folding.

multiple D genes in recombination (32, 33). Therefore, the average length of CDR3 in unconventional TCR $\delta$ 1 and TCR $\delta$ 5 was longer than that in TCR $\delta$ 3 and TCR $\delta$ 4 (13 ± 2.5 versus 7 ± 2.1 aa).

#### Rare usage of VH $\delta$ genes in TCR $\alpha$ chains

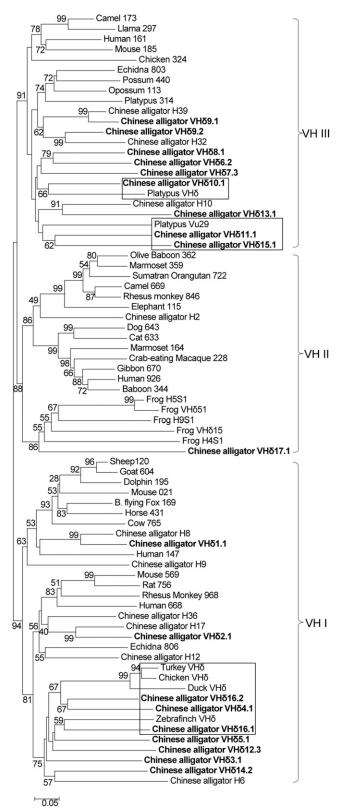
The use of V $\alpha$  gene segments was also analyzed. A total of 313 cDNA fragments, including 123 TCR $\alpha$ 1 and 188 TCR $\alpha$ 2, all with unique V–J junctions, were obtained. We analyzed the usage of V and J gene segments and observed a biased usage of V $\alpha$  and J $\alpha$  in these clones. C $\alpha$ 1 preferred to use the V $\alpha$  genes of locus A (75/123, 60.97%), and C $\alpha$ 2 had a biased usage pattern of V $\alpha$  in locus B (147/188, 78.19%). Additionally, V $\delta$  and VH $\delta$  genes, albeit rare, were also found to be used in TCR $\alpha$  chains.

#### Discussion

In this study, we systematically characterized the genomic organization, phylogeny, and expression of the TCR $\alpha/\delta$  locus in Chinese alligator. The results provide a better and deeper understanding of the origin and evolution of VH $\delta$  genes, as well as TCR $\alpha/\delta$  locus as a whole in tetrapods.

### The Chinese alligator $TCR\alpha/\delta$ locus exhibits a complicated genomic organization

The genomic region containing TCR $\alpha/\delta$  has been relatively conserved over the 400 million years of evolution of vertebrates because the genes flanking the TCR $\alpha/\delta$  gene locus in coelacanth, which is considered to be phylogenetically mostly close to the ancestor of tetrapod, are the same as in *X. tropicalis*, alligators, birds, and mammals (Fig. 1) (5, 14, 21, 31). Chinese alligator TCR $\alpha/\delta$  locus A was also flanked by the *METTL3*, *SALL2*, *DAD1*, and the *ABHD4* genes, as seen in other tetrapods (6, 14, 34). However, flanking the locus B were *KHNYN*, *CYLD*, *NFATC4*, *ADCY4*, *LTB4R*, *IGSF1*, and *FCRL5* genes, none of which could be found in the conventional TCR $\alpha/\delta$  locus in amphibians, birds,



**FIGURE 6.** Phylogenetic tree of Chinese alligator VH $\delta$  genes compared with atypical V gene, including V $\mu$ , VH $\delta$ , and VH genes from Chinese alligator, birds, mammals, and amphibians. All Chinese alligator VH $\delta$  are in boldface. The tree shown was generated using the neighbor-joining method. Bootstrap values are based on 1000 replicate samples. The last three digits of the accession number are indicated for those sequences taken from Gen-Bank. The three VH clans are indicated with brackets on the right, and their bootstrap support is shown on each node. The orthologous of VH $\delta$ /V $\mu$  genes of birds and platypus were showed in boxes. A scale bar representing proportional evolutionary distance is shown below the tree. Similar topology was generated using the maximum likelihood method.

Table II. Numbers of V, D, J, and C gene segments in  $TCR\alpha/\delta$  loci of selected tetrapods

Species	$V\alpha/V\delta^a$	νηδ	Jα	Jδ	Dδ	Cα	Сδ	Total <sup>b</sup>	Reference
Human	57 $(44)^c$	0	61	4	3	1	1	127	(35)
Mouse	104 (28)	0	60	2	2	1	1	170	(35, 36)
Cattle	>400 (>44)	0	d	5	3	1	1	>410	(37, 38)
Opossum	74 (47)	1 (1)	53	6	2	1	1	138	(34)
Platypus	99 (19)	2 (1)	32	7	2	1	1	144	(10)
Chicken	96 (3)	1 (1)	48	2	2	1	2	152	(31, 39)
Zebra finch	>14 (7)	1 (1)	14	3	2	1	2	>37	(15)
Duck	~79 (8)	1 (1)	68	2	2	1	2	155	(40)
X. tropicalis	57 (31)	14 (5)	77	5	2	2	2	159	(14, 15)
Chinese alligator	112 (34)	32 (18)	154	9	8	2	5	322	This study

All genes of  $TCR\alpha/\delta$  in Chinese alligator appear in bold.

<sup>a</sup>The V $\alpha$ /V $\delta$  segments include the V $\alpha$  in either TCR $\alpha$  and/or TCR $\delta$  locus.

<sup>b</sup>Numbers of all elements in different species.

<sup>c</sup>Numbers preceding parentheses are numbers of V gene segments, and numbers in parentheses are numbers of V subgroups.

<sup>d</sup>Number not confirmed.

and mammals (Figs. 1, 2). These results are consistent with locus A being the ancient and locus B being derived from locus A.

The genomic structure of the two Chinese alligator TCR $\alpha/\delta$  loci revealed that the genes encoding TCR $\delta$  were nested within the TCR $\alpha$  genes, like in other tetrapods (14, 31, 34). What was atypical was four tandemly repeated D\delta-J\delta-Cô clusters were found to be present in locus A (Fig. 1). More surprising was the discovery of a large number of VH-related genes dispersed within both Chinese alligator TCR $\alpha/\delta$  loci, which has not been found previously in any other tetrapod (Figs. 1, 5, 6). In total, there were 144 V (including 32 VH $\delta$ ), 154 J $\alpha$ , 9 J $\delta$ , eight D $\delta$ , 2 C $\alpha$ , and 5 C $\delta$ gene segments in the TCR $\alpha/\delta$  loci of Chinese alligator (Fig. 1). The results are consistent with Chinese alligator having a few more J $\delta$  segments and D $\delta$  segments than other tetrapod species and had twice the number of  $J\alpha$  gene segments than in other vertebrates because of the presence of the additional locus (10, 14, 15, 31, 34-40). Furthermore, a large number of V gene segments were also found in the TCR $\alpha/\delta$  loci of Chinese alligator, second only to that found in cattle (Table II) (32, 33).

In brief, although the organization of the Chinese alligator  $TCR\alpha/\delta$  locus has many features typical of tetrapods (6, 10, 14, 34, 35), it appears that the overall gene content and organization of the Chinese alligator  $TCR\alpha/\delta$  locus is the most complex among all tetrapod examined thus far (Fig. 2).

# Transfer of VH genes into the TCR $\alpha/\delta$ locus occurred frequently in vertebrates after the divergence of the IgH and TCR genes

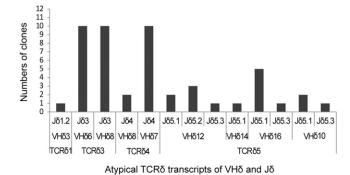
The presence of TCR chains that use Ig-like V domains, such as atypical TCR $\delta$  using VH $\delta$  (termed as TAILVs in the nurse shark), NAR-TCR, or TCR $\mu$ , have now been widely found in vertebrates, with the exception of the bony fish and eutherian mammals (10–12, 14–16, 21). NAR-TCR uses an N-terminal V domain related to IgNAR, which is unique to cartilaginous fish (19). The VDJ cluster of IgNAR may have recombined with V $\delta$  genes sometime early in the evolution of modern sharks to create the NAR-TCR cluster (11).

The nurse shark TCR $\alpha/\delta$  locus houses a complex of V segments from multiple lineages, including an IgH-like V segment coexpressed with TCR $\delta$  rearrangements. Phylogenetic analyses suggest the proximal IgH clusters could be the ancient precursors to TAILVs that illustrate that IgH and TCR $\delta$  have freely exchanged gene segments over the course of the nurse shark evolution (16). *X. tropicalis* possesses a great number of VH $\delta$  genes, all of which cluster with clan II VH gene segments (14). The VH $\delta$ 5 genes in the TCR $\alpha/\delta$  locus share a surprisingly high degree of nucleotide identity (91–96%) with VH5 genes in the *Xenopus* IgH locus, consistent with the VH $\delta$ 5 genes being paralogous to VH5 genes in *X. tropicalis* (14). In Chinese alligator, we also identified 32 VH $\delta$  gene segments that can be segregated into 18 subgroups (Fig. 1, Table I). Based on nucleotide identity, four of them were found to be more similar to VH genes of Chinese alligator itself as supported by the phylogenetic analysis (Fig. 5). It is highly likely that these VH $\delta$  genes were translocated from the IgH locus into the TCR $\alpha/\delta$  locus during the alligator speciation.

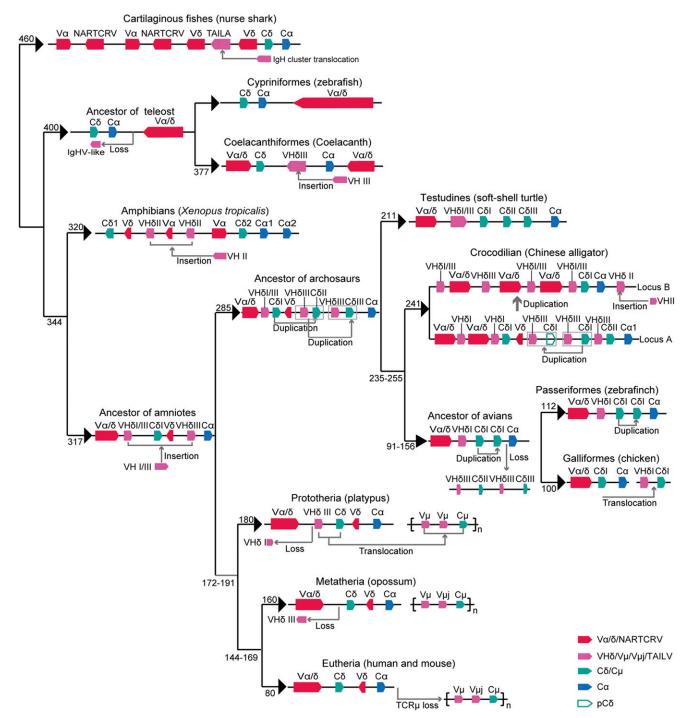
Therefore, a transfer of VH genes from the IgH locus to  $TCR\alpha/\delta$  locus has been occurring repeatedly after the divergence of the IgH and TCR genes in vertebrates (10, 14, 15). This transfer appears to be unidirectional, as thus far, no TCRV genes have been reported in the Ig loci (14).

### Analysis of the Chinese alligator $TCR\alpha/\delta$ locus reveals a possible evolutionary route of VH $\delta$ genes in tetrapod

Previous studies suggested that the translocation of VH genes from IgH to TCR locus appeared to have occurred independently in different species of tetrapods, as the VH $\delta$  genes in frogs, birds, and monotremes belonged to different VH clans (10, 14, 15). However, in this study, numerous VH $\delta$  genes were identified in Chinese alligators that were found to be related to three distinct VH gene clans (Figs. 1, 5). Most interestingly, as supported by the phylogenetic tree, all the avian VH $\delta$  and the platypus VH $\delta$  and V $\mu$ , identified previously, are associated with distinct VH clans and could be perfectly matched to their phylogenetic counterparts of VH $\delta$  genes in the alligator (Fig. 6). This strongly suggested that all VH $\delta$  and V $\mu$  genes identified in birds and mammals had a common origin with the VH $\delta$  genes found in alligators. Based on this study and previous efforts by other teams, we propose a model



**FIGURE 7.** Usage frequency of VH $\delta$  and J $\delta$  genes in Chinese alligator. In horizontal axis, TCR $\delta$ 1, TCR $\delta$ 3, TCR $\delta$ 4, and TCR $\delta$ 5 indicate transcripts amplified from different C $\delta$ , the number behind the VH $\delta$  means the number of families in Chinese alligator, and the number behind the J represents the number of allele. The vertical scale represents the number of the clone.



**FIGURE 8.** A model of the origin and evolution of VH $\delta$  gene in TCR $\alpha/\delta$  loci of different tetrapod. Genes are color coded as indicated at the right: V $\alpha/\delta$ , red; VH $\delta$  and V $\mu$ , pink; C $\delta$  and C $\mu$ , dark green; and C $\alpha$ , blue. In *X. tropicalis*, VH clan II gene or genes were translocated from the IgH locus and inserted into the TCR $\alpha/\delta$  locus, then VH $\delta$  II genes expanded (14). In cartilaginous fish, V gene segments of the proximal IgH locus were translocated into the TCR $\delta$  locus as TAILVs (16). In the evolution of TCR $\alpha/\delta$  locus in an ancestral teleost, IgHV-like segments lost because of an incomplete recombination of the V array to the other side of the D–J–C cluster (41). In coelacanth, the TCR $\alpha/\delta$  locus contained VH $\delta$  genes (VH clan III) derived from IgH gene locus, most likely during the speciation of coelacanth (21). In an amniotic ancestor, VH clan I and VH clan II gene translocated and inserted into TCR $\alpha/\delta$  locus. In the ancestor of archosaurs, duplication of C $\delta$  I led to the presence of C $\delta$  I and C $\delta$  II. Then, VH $\delta$  III genes were lost, and another C $\delta$  I gene was duplication of the VH $\delta$  III–C $\delta$  cluster occurred. In birds, VH $\delta$  III genes and C $\delta$  II and C $\delta$  III genes were lost, and another C $\delta$  I gene was duplicated in the ancestor of avian. But in the Passeriformes lineage, one C $\delta$  I gene was lost, and the remaining C $\delta$  I was duplicated after species divergence. In contrast, in the Galliformes lineage, the VH $\delta$ –D $\delta$ –J $\delta$ –C $\delta$  cluster was translocated out of the TCR $\alpha/\delta$  locus, giving rise to TCR $\mu$ ; mammals retained the VH $\delta$  III genes (10, 12). The Metatheria lineage lost VH $\delta$  from the TCR $\alpha/\delta$  locus, remaining a TCR $\mu$  locus only. In eutherian mammals, the TCR $\mu$  locus was also lost (10, 34).

to explain the evolution of  $TCR\alpha/\delta$  genes in tetrapods, as described below.

In cartilaginous fish, the TCR $\delta$  locus is also nested within the TCR $\alpha$  locus and contains the TAILVs segments (IgHV-like) that are the result of a proximal IgH translocation into the TCR $\delta$  locus (16). Phylogenetic evidence supports that IgHV segments in proximal IgH clusters of TCR $\delta$  locus could be the ancient precursors to TAILVs (16).

In the evolution of TCR $\alpha/\delta$  locus in an ancestral teleost fish, the IgHV-like segments may have been lost because of an incomplete recombination of the V array to the opposite side of the D–J–C cluster (41). This rearrangement could have produced the unusual locus organization with V $\alpha/\delta$  genes in an inverted transcriptional orientation 3' of C $\alpha$  (41). In coelacanth, which is closely related to the ancestor of terrestrial vertebrates, the TCR $\alpha/\delta$  locus contained VH $\delta$  genes (VH clan III) derived from IgH gene locus most likely during the speciation of this lineage (21) (Fig. 8).

In the *Xenopus* lineage, a duplication of the D $\delta$ -J $\delta$ -C $\delta$  cluster occurred, resulting in the presence of two C $\delta$ , each with its own set of D $\delta$  and J $\delta$  segments (10, 14). Then, VH clan II gene or genes from the IgH locus were translocated into the TCR $\alpha/\delta$  locus (Fig. 8) because *Xenopus* VH $\delta$  is all clustered with its own VH II gene segments in the phylogenetic tree (14). Subsequently, the region of the VH $\delta$  II–D $\delta$ -J $\delta$ -C $\delta$  cluster was inverted and VH $\delta$  II genes expanded, leading to the number of VH genes present in frog (10, 14). In frogs, IgH and TCR $\alpha/\delta$  loci are also closely linked, which may have facilitated translocation of VH into the TCR $\alpha/\delta$  locus (14).

In a common ancestor of the amniotes, the VH $\delta$  I and VH $\delta$  III genes were already present in the TCR $\alpha/\delta$  locus as a result of VH clan I and VH clan III gene translocation (Figs. 1, 8). Then, in the ancestor of birds, testudines, and crocodilians, duplication of C $\delta$  I led to the presence of C $\delta$  I and C $\delta$  II with its own set of D $\delta$  and J $\delta$ segments (Figs. 1, 4, 8). Subsequently, VH $\delta$  III–C $\delta$  II cluster also duplicated in the ancestor of birds, testudines, and crocodilians, generating a new VH $\delta$  III–C $\delta$  III cluster (Figs. 1, 4, 8).

After alligators diverged from archosaurs, locus B was duplicated from locus A in alligator, an event supported by phylogenetic analyses of the C $\alpha$  genes and C $\delta$  genes (Figs. 3, 4, 8). However, the two loci have undergone different evolutionary paths following duplication. In locus A, the VH $\delta$  III–C $\delta$  II cluster duplicated again, leading to presence of the fourth C $\delta$  gene (Figs. 1, 8). In locus B, a V gene expansion and loss of selected C $\delta$  genes have shaped the present genomic structure, which contains 98 V gene segments and only one C $\delta$  (Fig. 1). Additionally, VH clan II translocated into locus B, allowing a single VH $\delta$  gene located at the 3' end of the locus B (Figs. 1, 8).

During the evolution of bird TCR $\alpha/\delta$  locus, the VH $\delta$  III genes and C $\delta$  II and C $\delta$  III genes were lost, but the C $\delta$  I gene was duplicated in the common ancestor of birds (Fig. 8). In the Galliformes lineage (such as chicken and turkey), the VH $\delta$ –D $\delta$ –J $\delta$ –C $\delta$ cluster was translocated out of the TCR $\alpha/\delta$  locus, creating an additional locus on another chromosome (15). This can explain why all avian VH $\delta$  grouped with VH clan I even though no VH clan I genes are found in the avian IgH locus today (15). While in the Passeriformes lineage (such as zebra finch), one ancestral C $\delta$  I gene was lost, and the other remaining C $\delta$  I was later duplicated again after zebra finch separated (Figs. 4, 8).

In contrast to birds, the common ancestor of mammals presumably lost the VH $\delta$  I genes, and then the VH $\delta$ –D $\delta$ –J $\delta$ –C $\delta$ cluster was translocated out of the TCR $\alpha/\delta$  locus, giving rise to TCR $\mu$  (10). Therefore, they retained the VH $\delta$  III genes (10, 12, 17). However, in the Metatheria lineage, including opossum, VH $\delta$ III was lost in the TCR $\alpha/\delta$  locus, whereas the TCR $\mu$  locus is still maintained in their genomes (12). Later in evolution of eutherian mammals, the TCR $\mu$  locus was also lost (Fig. 8).

In summary, this study identified a distinct genomic organization of the TCR $\alpha/\delta$  locus in Chinese alligator, which appears to be the most complicated TCR $\alpha/\delta$  locus among all tetrapods examined so far. Our study further provides significant insights into the evolution of atypical TCR $\delta$  or TCR $\mu$  genes in tetrapods and the dynamic history of the TCR $\alpha/\delta$  locus.

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#### Disclosures

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